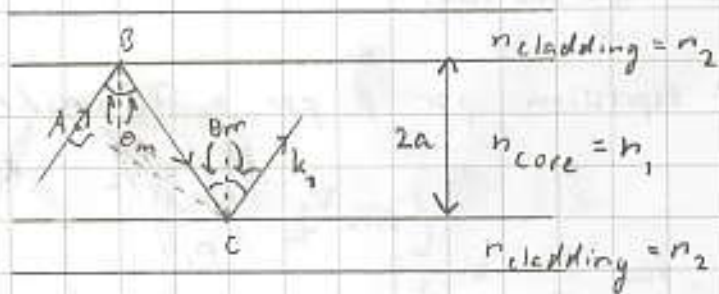


1) Waveguide condition

Slab waveguide



Light traveling from A to C experiences two total internal reflections (TIR). This causes a phase shift of  $2\phi_m$ , where  $\phi_m = \phi(\theta_m)$  indicating that  $\phi$  depends on incident angle  $\theta_m$ .

Propagation corresponds to a phase shift of  $(AB+BC)k_1$ , where  $k_1 = kn_1 = \frac{2\pi n_1}{\lambda}$ ,  $k$  and  $\lambda$  in vacuum.

Only waves with constructive interference can propagate in the slab. Therefore the phase difference between A and C must be a multiple of  $2\pi$ .

$$\Rightarrow \Delta\phi = (AB+BC)k_1 - 2\phi_m = 2\pi m, \quad m = 0, 1, 2, \dots$$

$$\cos\theta_m = \frac{2a}{BC} \Rightarrow BC = \frac{2a}{\cos\theta_m}$$

$$\cos 2\theta_m = \frac{AB}{BC} \Rightarrow AB = BC \cos 2\theta_m = \frac{2a \cos 2\theta_m}{\cos\theta_m}$$

$$\Rightarrow AB+BC = \frac{2a \cos 2\theta_m}{\cos\theta_m} + \frac{2a}{\cos\theta_m} = \frac{2a}{\cos\theta_m} (\cos 2\theta_m + 1)$$

$$\cos(2x) = 2\cos^2 x - 1$$

$$= \frac{2a}{\cos\theta_m} [2\cos^2\theta_m - 1 + 1] = 4a \cos\theta_m$$

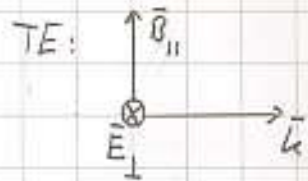
$$\Rightarrow \Delta\phi = 4a \cos\theta_m \cdot \frac{\sqrt{2}\pi n_1}{\lambda} - 2\phi_m = \sqrt{2} m \pi$$

$$\frac{4\pi a n_1}{\lambda} \cos\theta_m - \phi_m = m\pi \quad \square$$

2) Let's consider planar dielectric waveguide with a core thickness of  $2a = 20 \mu\text{m}$ ,  $n_{\text{core}} = n_1 = 1,455$ ,  $n_{\text{cladding}} = n_2 = 1,440$ , and  $\lambda = 900 \text{ nm}$ .

The expression for  $\phi$  for a TE mode is:

$$\tan \frac{\phi_m}{2} = \frac{\left( \sin^2 \theta_m - \left( \frac{n_2}{n_1} \right)^2 \right)^{1/2}}{\cos \theta_m}$$



In problem 1 we got:

$$\frac{4\pi a n_1}{\lambda} \cos \theta_m - \phi_m = m\pi \Rightarrow \phi_m = \frac{4\pi a n_1}{\lambda} \cos \theta_m - m\pi$$

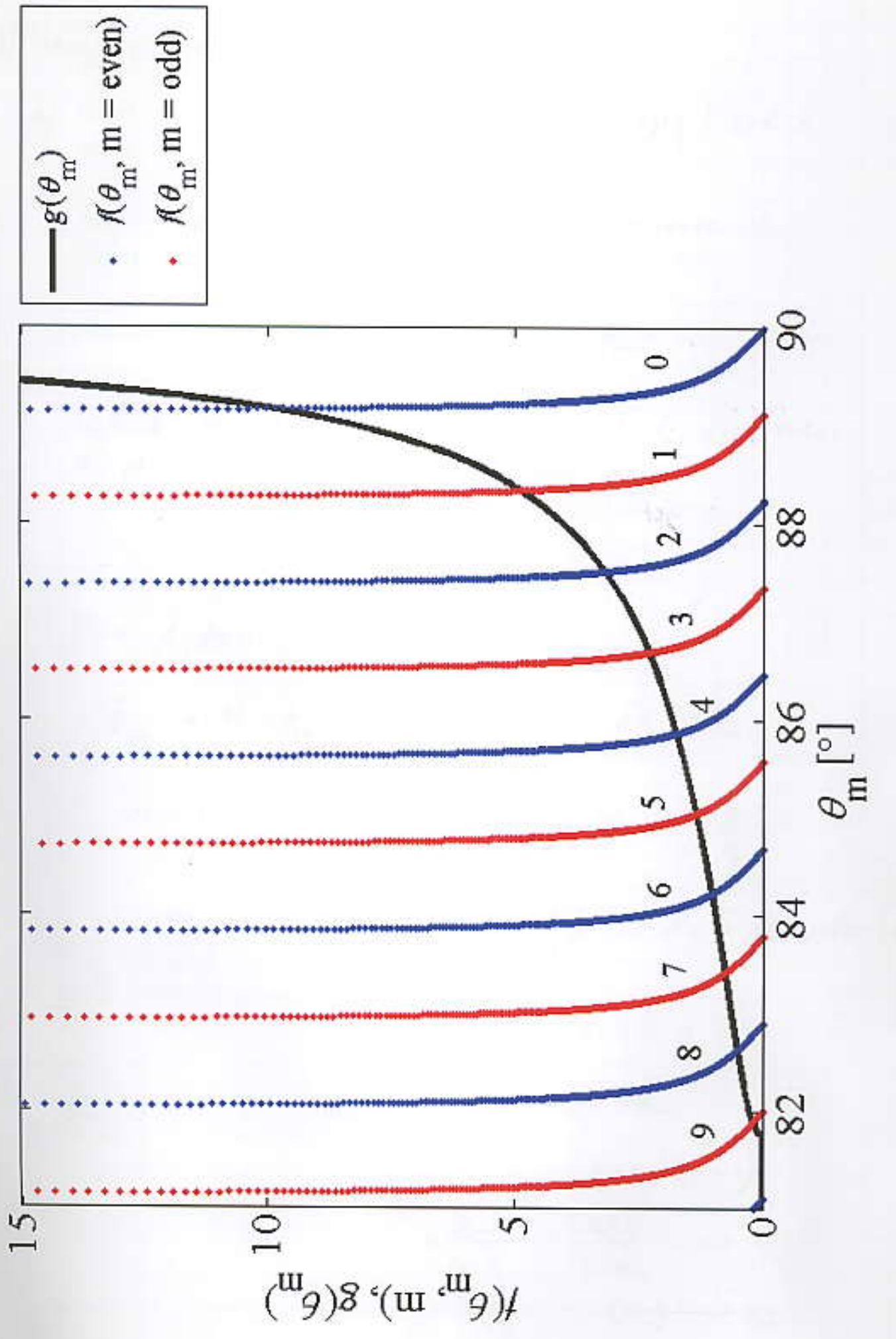
Now we get

$$\underbrace{\tan \left( \frac{4\pi a n_1}{\lambda} \cos \theta_m - \frac{m\pi}{2} \right)}_{= f(\theta_m, m)} = \underbrace{\frac{\left( \sin^2 \theta_m - \left( \frac{n_2}{n_1} \right)^2 \right)^{1/2}}{\cos \theta_m}}_{= g(\theta_m)}$$

Both sides are functions of  $\theta_m$ . Plotting  $f(\theta_m, m)$  and  $g(\theta_m)$  into a same graph, solutions for  $\theta_m$  are obtained from intersections, see Fig. 1.

Solution for  $m=0 \dots 9$  is:

$m$	$\theta_m$
0	$87,2^\circ$
1	$88,2^\circ$
2	$87,5^\circ$
3	$86,7^\circ$
4	$85,9^\circ$
5	$85,0^\circ$
6	$84,2^\circ$
7	$82,4^\circ$
8	$82,6^\circ$
9	$81,9^\circ$



## 3) Waveguide mode shapes

a) What is the phase difference between rays 1 and 2 at C?

Ray 1 propagating from A to C experiences a phase shift of

$$k_1 AC - \phi_m = \frac{2\pi n_1 AC}{\lambda} - \phi_m.$$

Instead, ray 2 propagating from A' to C experiences a phase shift of

$$k_1 A'C = \frac{2\pi n_1 A'C}{\lambda}.$$

Phase difference:

$$\Delta \Phi_m = k_1 AC - \phi_m - k_1 A'C = k_1 (AC - A'C) - \phi_m.$$

Geometry:  $\cos \theta_m = \frac{a-y}{AC} \Rightarrow AC = \frac{a-y}{\cos \theta_m}$

$$\cos(\pi - 2\theta_m) = \frac{A'C}{AC} \Rightarrow A'C = AC \cos(\pi - 2\theta_m)$$

$$\begin{aligned} \Rightarrow A'C &= \frac{a-y}{\cos \theta_m} \cos(\pi - 2\theta_m) \\ &= -\frac{a-y}{\cos \theta_m} \cos 2\theta_m \\ &= -\frac{a-y}{\cos \theta_m} (2\cos^2 \theta_m - 1) \\ &= \frac{a-y}{\cos \theta_m} - \frac{a-y}{\cos \theta_m} 2\cos^2 \theta_m \\ &= \frac{a-y}{\cos \theta_m} - 2(a-y)\cos \theta_m \end{aligned}$$

$\begin{aligned} \cos 2x &= 2\cos^2 x - 1 \end{aligned}$
----------------------------------------------------------

$$\Rightarrow AC - A'C = \frac{a-y}{\cos \theta_m} - \frac{a-y}{\cos \theta_m} + 2(a-y) \cos \theta_m$$

$$= 2(a-y) \cos \theta_m$$

$$\Rightarrow \Phi_m = k_1 (AC - A'C) - \phi_m = 2k_1 (a-y) \cos \theta_m - \phi_m$$

In problem 1 we got

$$\frac{4\pi a n}{\lambda} \cos \theta_m - \phi_m = 2k_1 a \cos \theta_m - \phi_m = m\pi$$

$$\Rightarrow \cos \theta_m = \frac{m\pi + \phi_m}{2k_1 a}$$

Substituting this into  $\Phi_m$  we get

$$\begin{aligned} \Phi_m = \Phi_m(y) &= 2k_1 (a-y) \frac{m\pi + \phi_m}{2k_1 a} - \phi_m \\ &= \frac{a(m\pi + \phi_m)}{a} - \frac{y(m\pi + \phi_m)}{a} - \phi_m \\ &= m\pi + \phi_m - \frac{y}{a}(m\pi + \phi_m) - \phi_m \\ &= m\pi - \frac{y}{a}(m\pi + \phi_m) \quad \square \end{aligned}$$

b) Field variation as a function of  $y$ .

Interfering waves at C:

$$\begin{aligned} E(y) &= A \cos(\omega t) + A \cos[\omega t + \Phi_m(y)] \\ &= A \left[ \sin\left(\frac{\pi}{2} - \omega t\right) + \sin\left[\frac{\pi}{2} - (\omega t + \Phi_m(y))\right] \right] \end{aligned}$$

$$\sin A + \sin B = 2 \sin \left[ \frac{1}{2}(A+B) \right] \cos \left[ \frac{1}{2}(A-B) \right]$$

$$\begin{aligned} &= 2A \sin \left[ \frac{1}{2} \left( \frac{\pi}{2} - \omega t + \frac{\pi}{2} - (\omega t + \Phi_m(y)) \right) \right] \\ &\quad \cdot \cos \left[ \frac{1}{2} \left( \frac{\pi}{2} - \omega t - \frac{\pi}{2} + (\omega t + \Phi_m(y)) \right) \right] \end{aligned}$$

$$E(y) = 2A \sin \left[ \frac{1}{2} (\pi - 2\omega t - \Phi_m(y)) \right] \cos \left[ \frac{1}{2} \Phi_m(y) \right]$$

$$= 2A \sin \left[ \frac{\pi}{2} - (\omega t + \frac{1}{2} \Phi_m(y)) \right] \cos \left[ \frac{1}{2} \Phi_m(y) \right]$$

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$$\cos A = \sin \left( \frac{\pi}{2} - A \right)$$


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$$= 2A \cos \left[ \omega t + \frac{1}{2} \Phi_m(y) \right] \cos \left[ \frac{1}{2} \Phi_m(y) \right]$$

This is form of  $E_0 \cos[\omega t + \alpha]$ , where

$$E_0 = 2A \cos \left[ \frac{1}{2} \Phi_m(y) \right] = 2A \cos \left[ \frac{m\pi}{2} - \frac{y}{2a} (m\pi + \phi_m) \right]$$

and  $\alpha = \frac{1}{2} \Phi_m(y)$ .

c) Let's set  $A=1$ .

To plot  $E_0$  we need to find  $\phi_m$  for  $m=0, 1, 2$ , the first three modes.

Considering problem 2 with parameters  $a = 10 \mu\text{m}$ ,  $n_1 = 1,455$ ,  $n_2 = 1,440$  and  $\lambda = 1,3 \mu\text{m}$  results into following  $\theta_m$  and  $\phi_m$  angles for TE modes

$m$	$\theta_m$	$\phi_m$
0	$88,8^\circ$	$163,8^\circ$
1	$87,7^\circ$	$147,0^\circ$
2	$86,5^\circ$	$129,7^\circ$

